



PATENTS  
DX/003 CON

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hendricus R. J. M. Hoogenboom  
Application No.: 09/988,899 Confirmation No.: 9170  
Filed : November 19, 2001  
For : NOVEL FAB FRAGMENT LIBRARIES AND  
METHODS FOR THEIR USE  
Group Art Unit : 1645

Hon. Commissioner  
For Patents  
Washington, D.C. 20231

New York, NY 10020  
March 26, 2002

Attn: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION

Sir:

Responsive to the Notice to File Missing Parts  
of Nonprovisional Application mailed December 4, 2001,  
applicant hereby submits the following:

1. A copy of the December 4, 2001 Notice to  
File Missing Parts of Nonprovisional  
Application;
2. Executed Declaration and Power of  
Attorney;
3. Hard copy of the Sequence Listing (17  
pages), and a diskette containing the  
Sequence Listing;
4. Statement to Support Filing and Submission  
in Accordance with 37 C.F.R. §§ 1.821-  
1.825;

5. Petition for Extension of Time (in duplicate); and
6. Three (3) checks in the amounts of (i) \$65.00 surcharge for late filing of the Declaration; (ii) \$510.00 for filing fee; and (iii) \$200.00 for two-month extension of time.

Please credit any overpayment or charge any underpayment in connection with this Response to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
James F. Haley, Jr. (27,794)  
Attorney for Applicant  
Bhavana Joneja (47,689)  
Agent for Applicant  
Customer No. 1473  
FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020  
Tel.: (212) 596-9000



03-29-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Hendricus R.J.M. Hoogenboom  
Application No. : 09/988,899 Confirmation No.: 9170  
Filed : November 19, 2001  
For : NOVEL FAB FRAGMENT LIBRARIES AND  
METHODS FOR THEIR USE  
Group : 1645

BOX MISSING PARTS

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

New York, NY 10020  
March 26, 2002

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV 045435869 US  
Date of Deposit: March 26, 2002

I hereby certify that this certification and the  
following papers and fees:

1. Response to Notice to File Missing Parts of  
Nonprovisional Application (in duplicate);
2. A copy of the December 4, 2001 Notice to File  
Missing Parts of Nonprovisional Application;
3. Executed Declaration and Power of Attorney;
4. Hard copy of the Sequence Listing (17 pages), and a  
diskette containing the Sequence Listing;
5. Statement to Support Filing and Submission in  
Accordance with 37 C.F.R. §§ 1.821-1.825;
6. Petition for Extension of Time (in duplicate); and
7. Three (3) checks in the amounts of  
(i) \$65.00 surcharge for late filing of the  
Declaration; (ii) \$510.00 for filing fee; and (iii)  
\$200.00 for two-month extension of time.

are being deposited with the United States Postal Service "Express  
Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the  
date indicated above and are addressed to BOX MISSING PARTS,  
Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Lillian Garcia  
Name: Lillian Garcia

Express Mail Label: EV 045435869 US



UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,899	11/19/2001	Hendricus R.J.M. Hoogenboom	DX/003 CON

1473  
 FISH & NEAVE  
 1251 AVENUE OF THE AMERICAS  
 50TH FLOOR  
 NEW YORK, NY 10020-1105

CONFIRMATION NO. 9170

## FORMALITIES LETTER



\*OC000000007150978\*

Date Mailed: 12/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$140.
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 575.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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02 FC:205  
03 FC:20165.00 0P  
370.00 0P

04 FC:204

140.00 0P

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: DX/003 CON

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in the patent application of  
HOOGENBOOM, HENDRICUS R.J.M.

Serial No. 09/988,899

Filed: November 19, 2001

For: NOVEL FAB FRAGMENT LIBRARIES AND METHOD FOR THEIR USE

STATEMENT TO SUPPORT FILING AND SUBMISSION IN  
ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

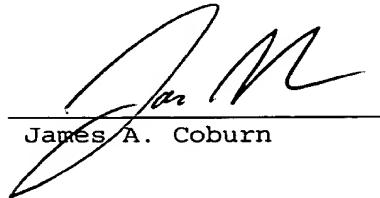
1. the submission, filed herewith in accordance with 37 C.F.R. § 1.821(g), does not include new matter;

2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same; and

3. all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United

States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,



James A. Coburn

January 28, 2002

Date  
**HARBOR CONSULTING**  
Intellectual Property Services  
1500A Lafayette Road  
Suite 262  
Portsmouth, N.H.  
800-318-3021